



Attorney Docket No. 9362.4

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Williams et al.  
Application No.: 10/662,621  
Filed: September 15, 2003  
For: CARBON DIOXIDE-ASSISTED METHODS OF PROVIDING BIOCOMPATIBLE  
INTRALUMINAL PROSTHESES

Confirmation No.: 9764

Group Art Unit: 3731

Examiner: unknown

Date: April 1, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Sir:

Attached is a list of documents on Form PTO-1449, together with a copy of any listed foreign patent document and/or non-patent literature. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the waiver by the U.S. Patent and Trademark Office of requirements under 37 C.F.R. § 1.98(a)(2)(i) for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003.

It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.56 and Section 609 of the MPEP.

No fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

Respectfully submitted,

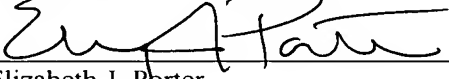
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**CERTIFICATE OF MAILING UNDER 37 CFR § 1.8**

I hereby certify that this paper or fee is being deposited with the United States Postal Service under 37 CFR 1.8 on the date indicated above and is addressed to Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
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Elizabeth J. Porter

| <b>FORM PTO-1449 U.S. Department of Commerce</b><br>Patent and Trademark Office   |     |                 |            | Attorney Docket Number<br>9362-4 |       | Serial No.<br>10/662,621 |                            |                                    |  |
|---|-----|-----------------|------------|----------------------------------|-------|--------------------------|----------------------------|------------------------------------|--|
| <div style="display: flex; align-items: center;"> <div style="border: 2px solid black; border-radius: 50%; padding: 10px; text-align: center; margin-right: 10px;">             O I P E<br/>             APR 05 2004<br/>             PATENT &amp; TRADEMARK OFFICE           </div> <div> <b>LIST OF DOCUMENTS CITED BY APPLICANT</b><br/>             (Use several sheets if necessary)           </div> </div> |     |                 |            | Applicants: Williams et al.      |       |                          |                            | Filing Date:<br>September 15, 2003 |  |
|   |     |                 |            |                                  |       | Group<br>3731            |                            |                                    |  |
| <b>U. S. PATENT DOCUMENTS</b>   |     |                 |            |                                  |       |                          |                            |                                    |  |
| Examiner Initial  |     | Document Number | Date       | Name                             | Class | Subclass                 | Filing Date if Appropriate |                                    |  |
|   | 1.  | 4,351,337       | 09/28/1982 | Sidman                           | 128   | 260                      |                            |                                    |  |
|   | 2.  | 4,975,280       | 12/04/1990 | Schacht et al.                   | 424   | 428                      |                            |                                    |  |
|   | 3.  | 4,994,033       | 02/19/1991 | Shockey et al.                   | 604   | 101.02                   |                            |                                    |  |
|   | 4.  | 5,059,211       | 10/22/1991 | Stack et al.                     | 623   | 1.15                     |                            |                                    |  |
|   | 5.  | 5,085,629       | 02/04/1992 | Goldberg et al.                  | 604   | 8                        |                            |                                    |  |
|   | 6.  | 5,271,400       | 12/21/1993 | Dumoulin et al.                  | 128   | 653.2                    |                            |                                    |  |
|   | 7.  | 5,306,286       | 04/26/1994 | Stack et al.                     | 623   | 1.12                     |                            |                                    |  |
|   | 8.  | 5,340,614       | 08/23/1994 | Perman et al.                    | 427   | 2.24                     |                            |                                    |  |
|   | 9.  | 5,423,885       | 06/13/1995 | Williams                         | 623   | 1.17                     |                            |                                    |  |
|   | 10. | 5,443,458       | 08/22/1995 | Eury                             | 604   | 891.1                    |                            |                                    |  |
|   | 11. | 5,443,498       | 08/22/1995 | Fontaine                         | 623   | 1                        |                            |                                    |  |
|   | 12. | 5,443,500       | 08/22/1995 | Sigwart                          | 623   | 1                        |                            |                                    |  |
|   | 13. | 5,449,382       | 09/12/1995 | Dayton                           | 623   | 1                        |                            |                                    |  |
|   | 14. | 5,456,917       | 10/10/1995 | Wise et al.                      | 424   | 426                      |                            |                                    |  |
|   | 15. | 5,500,013       | 03/19/1996 | Buscemi et al.                   | 623   | 1                        |                            |                                    |  |
|   | 16. | 5,508,060       | 04/16/1996 | Perman et al.                    | 427   | 2.14                     |                            |                                    |  |
|   | 17. | 5,527,337       | 06/18/1996 | Stack et al.                     | 606   | 198                      |                            |                                    |  |
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|   | 19. | 5,551,954       | 09/03/1996 | Buscemi et al.                   | 623   | 1                        |                            |                                    |  |
|   | 20. | 5,591,199       | 01/07/1997 | Porter                           | 606   | 198                      |                            |                                    |  |
|   | 21. | 5,591,224       | 01/07/1997 | Schwartz et al.                  | 623   | 1                        |                            |                                    |  |
|   | 22. | 5,591,227       | 01/07/1997 | Dinh et al.                      | 623   | 1                        |                            |                                    |  |
|   | 23. | 5,605,696       | 02/25/1997 | Eury et al.                      | 424   | 423                      |                            |                                    |  |
|   | 24. | 5,607,467       | 03/04/1997 | Froix                            | 623   | 1                        |                            |                                    |  |
|   | 25. | 5,618,299       | 04/08/1997 | Khosravi et al.                  | 606   | 198                      |                            |                                    |  |

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|   |     |           |            |                 |                                    |       |                          |
|---|-----|-----------|------------|-----------------|------------------------------------|-------|--------------------------|
| <b>FORM PTO-1449</b> U.S. Department of Commerce<br>Patent and Trademark Office<br><br><b>LIST OF DOCUMENTS CITED BY APPLICANT</b><br><br>(Use several sheets if necessary) |     |           |            |                 | Attorney Docket Number<br>9362-4   |       | Serial No.<br>10/662,621 |
|   |     |           |            |                 | Applicants: Williams et al.        |       |                          |
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|   | 26. | 5,629,077 | 05/13/1997 | Turnlund et al. | 623                                | 1.15  |                          |
|   | 27. | 5,649,952 | 07/22/1997 | Lam             | 606                                | 198   |                          |
|   | 28. | 5,670,161 | 09/23/1997 | Healy et al.    | 424                                | 426   |                          |
|   | 29. | 5,674,192 | 10/07/1997 | Sahatian et al. | 604                                | 28    |                          |
|   | 30. | 5,697,967 | 12/16/1997 | Dinh et al.     | 623                                | 1     |                          |
|   | 31. | 5,723,508 | 03/03/1998 | Healy et al.    | 521                                | 61    |                          |
|   | 32. | 5,733,327 | 03/31/1998 | Igaki et al.    | 623                                | 1     |                          |
|   | 33. | 5,733,328 | 03/31/1998 | Fordenbacher    | 623                                | 1.16  |                          |
|   | 34. | 5,733,330 | 03/31/1998 | Cox             | 623                                | 1     |                          |
|   | 35. | 5,741,293 | 04/21/1991 | Wijay           | 623                                | 1.15  |                          |
|   | 36. | 5,741,323 | 04/21/1998 | Pathak et al.   | 623                                | 1     |                          |
|   | 37. | 5,744,958 | 04/28/1998 | Werne           | 324                                | 318   |                          |
|   | 38. | 5,746,208 | 05/5/1998  | Prince          | 128                                | 653.4 |                          |
|   | 39. | 5,749,922 | 05/12/1998 | Slepian et al.  | 623                                | 1     |                          |
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|   | 42. | 5,766,204 | 06/16/1998 | Porter          | 606                                | 198   |                          |
|   | 43. | 5,766,710 | 06/16/1998 | Turnlund et al. | 428                                | 36.1  |                          |
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|   | 49. | 5,808,060 | 09/15/1998 | Perman et al.   | 540                                | 577   |                          |
|   | 50. | 5,824,049 | 10/20/1998 | Ragheb et al.   | 623                                | 1     |                          |
|   | 51. | 5,851,217 | 12/22/1998 | Wolff et al.    | 606                                | 191   |                          |
|   | 52. | 5,860,467 | 01/19/1999 | DeSimone et al. | 164                                | 5     |                          |
|   | 53. | 5,868,781 | 02/09/1999 | Killion         | 623                                | 1.15  |                          |
|   | 54. | 5,873,904 | 02/23/1999 | Ragheb et al.   | 623                                | 1     |                          |

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|  |     |              |            |                       | Filing Date:<br>September 15, 2003 | Group<br>3731 |                          |
|  | 55. | 5,916,585    | 06/29/1999 | Cook et al.           | 424                                | 426           |                          |
|  | 56. | 5,924,987    | 07/20/1999 | Meaney et al.         | 600                                | 420           |                          |
|  | 57. | 5,957,971    | 09/28/1999 | Schwartz              | 623                                | 1             |                          |
|  | 58. | 5,957,975    | 09/28/1999 | Lafont et al.         | 623                                | 1             |                          |
|  | 59. | Re 36,370    | 11/02/1999 | Li                    | 424                                | 443           |                          |
|  | 60. | 5,980,564    | 11/09/1999 | Stinson               | 623                                | 1             |                          |
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|  | 62. | 5,984,957    | 11/16/1999 | Laptewicz, Jr. et al. | 623                                | 1.15          |                          |
|  | 63. | 6,004,346    | 12/21/1999 | Wolff et al.          | 623                                | 1             |                          |
|  | 64. | 6,045,568    | 04/04/2000 | Igaki et al.          | 606                                | 198           |                          |
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|  | 68. | 6,113,628    | 09/05/2000 | Borghi                | 623                                | 1.016         |                          |
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|  | 71. | 6,156,062    | 12/05/2000 | McGuinness            | 623                                | 1.11          |                          |
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|  | 78. | 6,240,311    | 05/29/2001 | Prince                | 600                                | 420           |                          |
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|  | 82. | 6,264,683    | 07/24/2001 | Stack et al.          | 623                                | 1.11          |                          |
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|  |      |              |            |                   | <b>Applicants:</b> Williams et al.        |                      |                                 |
|  |      |              |            |                   | <b>Filing Date:</b><br>September 15, 2003 | <b>Group</b><br>3731 |                                 |
|  | 84.  | 6,267,769    | 07/31/2001 | Truwit            | 606                                       | 130                  |                                 |
|  | 85.  | 6,272,370    | 08/7/2001  | Gillies et al.    | 600                                       | 411                  |                                 |
|  | 86.  | 6,298,902 B1 | 10/09/2001 | DeSimone et al.   | 164                                       | 131                  |                                 |
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|  | 93.  | 6,368,346    | 04/09/2002 | Jadhav            | 623                                       | 1.22                 |                                 |
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|  | 98.  | 6,440,405    | 08/27/2002 | Cooper et al.     | 424                                       | 78.17                |                                 |
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|  | 102. | 6,468,519    | 10/22/2002 | Uhrich            | 424                                       | 78.01                |                                 |
|  | 103. | 6,468,299    | 10/22/2002 | Stack et al.      | 623                                       | 1.11                 |                                 |
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|  | 105. | 2001/0021871 | 09/13/2001 | Stinson           | 623                                       | 1.15                 |                                 |
|  | 106. | 2001/0029398 | 10/11/2001 | Jadhav            | 623                                       | 1.22                 |                                 |
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|  | 110. | 2002/0013617 | 01/31/2002 | Matsutani et al.  | 623                                       | 1.15                 |                                 |
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|  |      | Document Number | Date       | Country | Class | Subclass | Translation<br>Yes   No |
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|  | 121. | WO/99/59548     | 11/25/1999 | PCT     |       |          |                         |

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